



**GLOBAL
INITIATIVE
FOR ASTHMA**

GINA

DIFFICULT-TO-TREAT & SEVERE ASTHMA

in adolescent and adult patients

Diagnosis and Management

A Short GINA Guide for Health Professionals

V4.0 August 2023



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Symbols and abbreviations

*	Check local eligibility criteria as these may vary from those listed
+, ++, +++	Plus signs indicate the strength of an association
~	Approximately
ACE	Angiotensin-converting enzyme
ACQ-5	5-item Asthma Control Questionnaire
ABPA	Allergic bronchopulmonary aspergillosis
AERD	Aspirin-exacerbated respiratory disease
ANCA	Antineutrophil cytoplasmic antibody
BNP	B-type natriuretic peptide
CBC	Complete blood count (also known as FBC, full blood count)
COPD	Chronic obstructive pulmonary disease
CRP	C-reactive protein
CT/HRCT	Computerized tomography/high resolution computerized tomography
CXR	Chest X-ray
DEXA	Dual-energy X-ray absorptiometry
DPI	Dry powder inhaler
DLCO	Diffusing capacity in the lung for carbon monoxide
ED	Emergency department
EGPA	Eosinophilic granulomatosis with polyangiitis
FeNO	Fraction of exhaled nitric oxide
FEV₁	Forced expiratory volume in 1 second
FVC	Forced vital capacity
GERD	Gastro-esophageal reflux disease
GP	General practitioner; primary care physician
ICS	Inhaled corticosteroids
Ig	Immunoglobulin
IL	Interleukin
IM	Intramuscular
IV	Intravenous
LABA	Long-acting beta ₂ agonist
LAMA	Long-acting muscarinic antagonist
LTRA	Leukotriene receptor antagonist (also called leukotriene modifier)
NSAID	Nonsteroidal anti-inflammatory drug
OCS	Oral corticosteroids
OSA	Obstructive sleep apnea
pMDI	Pressurized metered dose inhaler
QTc	Corrected QT interval from electrocardiogram
RCT	Randomized controlled trial
SABA	Short-acting beta ₂ agonists
SC	Subcutaneous
TSLP	Thymic stromal lymphopoietin
VCD	Vocal cord dysfunction (now part of inducible laryngeal obstruction)

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Investigate and manage difficult-to-treat asthma in adults and adolescents

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Goal of this Guide

The goal of this guide is to provide health professionals with a practical summary of how to identify, assess and manage difficult-to-treat and severe asthma in adolescents and adults. It is intended for use by general practitioners (GPs, primary care physicians), pulmonary specialists and other health professionals involved in the care of people with asthma. More details on asthma management in clinical practice, particularly for primary care, can be found in the GINA 2023 Strategy Report, available from www.ginasthma.org.

How was the Guide developed?

The recommendations in this guide were based on the most reliable sources available for each topic: evidence from good-quality systematic reviews or randomized controlled trials (RCTs), robust observational data if no controlled trials available, or expert consensus by experienced clinicians and researchers where no published evidence available. Development of the Guide and decision tree included extensive collaboration with experts in human-centered design to enhance the utility of these resources for end-users. This means translating existing high-level flowcharts and text-based information to a more detailed visual format, and applying information architecture and diagramming principles.

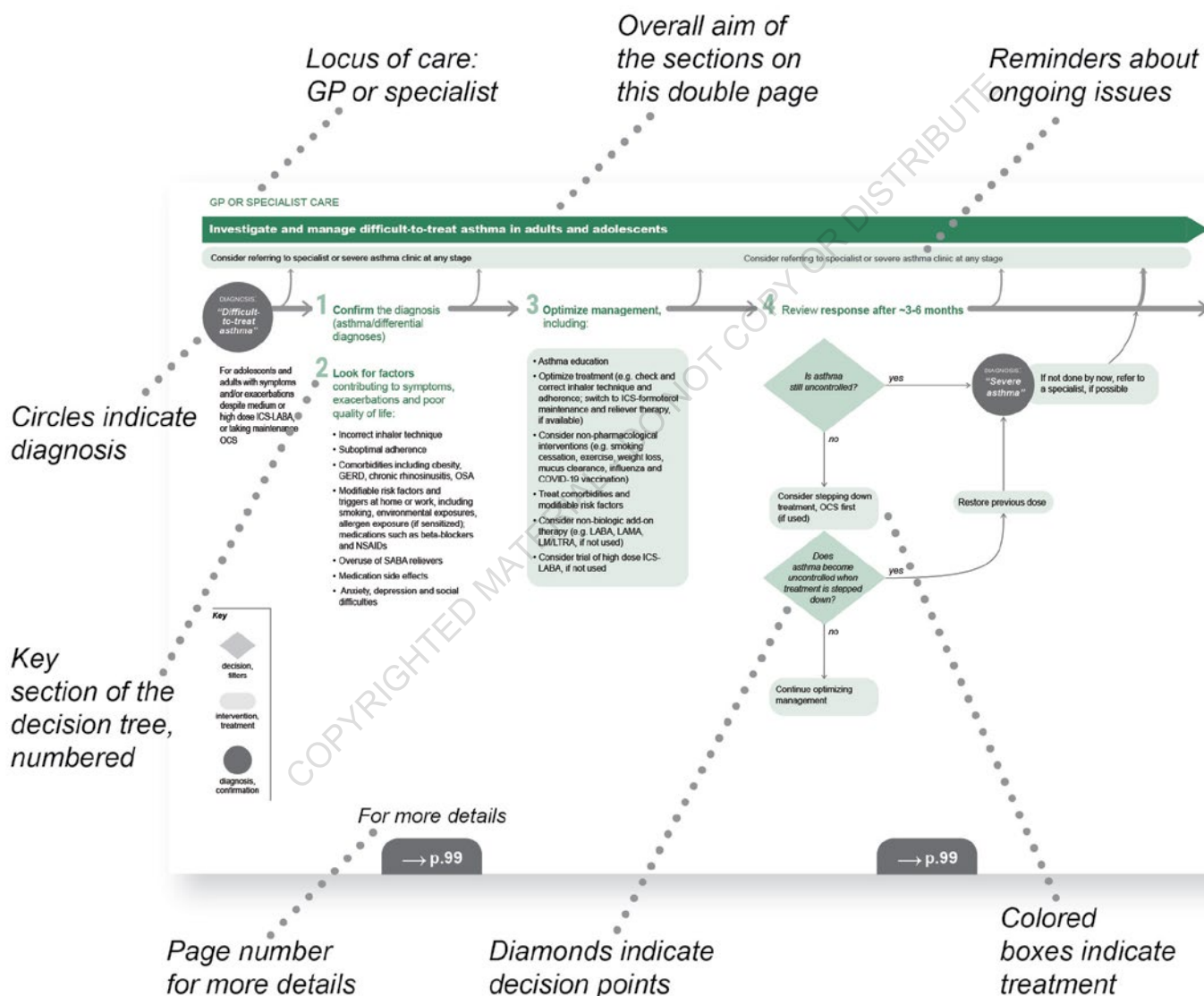
*This **GINA Guide** is intended as a practical guide for health professionals on the assessment and management of difficult-to-treat and severe asthma. It does NOT contain all of the information required for managing asthma. The Guide should be used in conjunction with the full GINA 2023 Strategy Report. Health professionals should also use their own clinical judgment and take into account any local restrictions or payer requirements. GINA cannot be held liable or responsible for inappropriate healthcare associated with the use of this document, including any use which is not in accordance with applicable local or national regulations or guidelines.*

How to use this Guide

The table of contents (page 3) summarizes the overall steps involved in assessing and treating an adult or adolescent who presents with difficult-to-treat asthma (see definitions on page 6).

A clinical decision tree is found on pages 8 to 15, providing brief information about what should be considered in each phase. The decision tree is divided into three broad areas:

- **Sections 1 – 4 (green)** are for use in primary care and/or specialist care.
- **Sections 5 – 8 (blue)** are mainly relevant to respiratory specialists.
- **Sections 9 – 10 (brown)** are about maintaining ongoing collaborative care between the patient, GP, specialist and other health professionals.



More detailed information about each of the numbered sections of the decision tree follows, starting on page 16.

GINA 2023 Box numbers refer to Global Strategy for Asthma Management and Prevention, 2023 (the Strategy Report), available at www.ginasthma.org.

Key references and additional resources are found at the end of the guide, starting on page 31.

Definitions: uncontrolled, difficult-to-treat and severe asthma

Understanding the definitions of difficult-to-treat and severe asthma starts with the concept of uncontrolled asthma.

Uncontrolled asthma includes one or both of the following:

- Poor symptom control (frequent symptoms or reliever use, activity limited by asthma, night waking due to asthma)
- Frequent exacerbations (≥ 2 /year) requiring OCS, or serious exacerbations (≥ 1 /year) requiring hospitalization.

Difficult-to-treat asthma¹ is asthma that is uncontrolled despite prescribing of medium- or high-dose ICS with a second controller (usually a LABA) or with maintenance OCS, or that requires high-dose treatment to maintain good symptom control and reduce the risk of exacerbations.¹ It does not mean a 'difficult patient'. In many cases, asthma may appear to be difficult to treat because of modifiable factors such as incorrect inhaler technique, poor adherence, smoking or comorbidities, or because the diagnosis is incorrect.

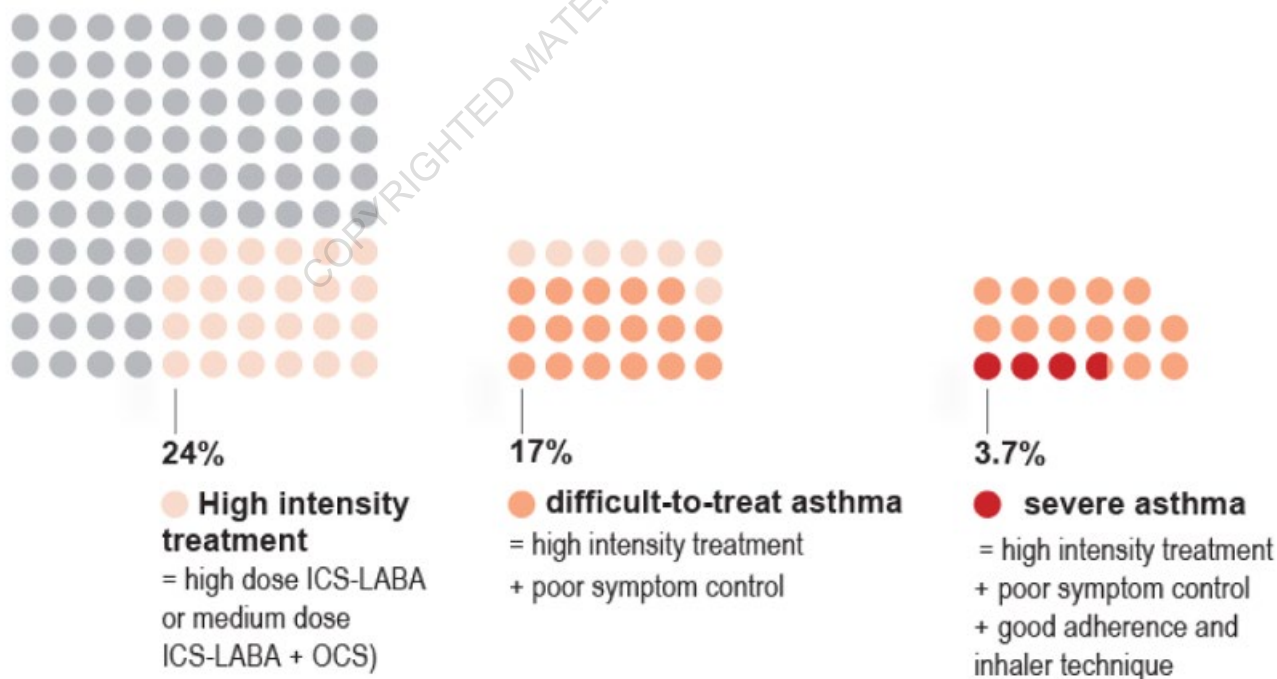
Severe asthma¹ is a subset of difficult-to-treat asthma (Box 1). It means asthma that is uncontrolled despite adherence with maximal optimized high-dose ICS-LABA treatment and management of contributory factors, or that worsens when high-dose treatment is decreased.¹ At present, therefore, 'severe asthma' is a retrospective label. It is sometimes called 'severe refractory asthma'¹ since it is defined by being relatively refractory to high-dose inhaled therapy. However, with the advent of biologic therapies, the word 'refractory' is no longer appropriate.

Asthma is not classified as severe if it markedly improves when contributory factors such as inhaler technique and adherence are addressed.¹

Prevalence: how many people have severe asthma?

A study in the Netherlands estimated that around 3.7% of asthma patients have severe asthma, based on the number of patients prescribed high-dose ICS-LABA, or medium or high-dose ICS-LABA plus long-term OCS, who had poor symptom control (by Asthma Control Questionnaire) and had good adherence and inhaler technique (Box 1).²

Box 1. What proportion of adults have difficult-to-treat or severe asthma?



Data from the Netherlands, reported by Hekking et al (2015)²

Importance: the impact of severe asthma

The patient perspective

Patients with severe asthma experience a heavy burden of symptoms, exacerbations and medication side-effects. Frequent shortness of breath, wheeze, chest tightness and cough interfere with day-to-day living, sleeping, and physical activity, and patients often have frightening or unpredictable exacerbations (also called attacks or severe flare-ups).

Medication side-effects are particularly common and problematic with OCS,³ which in the past were a mainstay of treatment for severe asthma. Adverse effects of long-term or frequent OCS include obesity, diabetes, osteoporosis and fragility fractures,⁴ cataracts, hypertension and adrenal suppression; psychological side-effects such as depression and anxiety are particularly concerning for patients.⁵ Even short-term use of OCS is associated with sleep disturbance, and increased risk of infection, fracture and thromboembolism.⁶ Strategies to minimize need for OCS are therefore a high priority.

Severe asthma often interferes with family, social and working life, limits career choices and vacation options, and affects emotional and mental health. Patients with severe asthma often feel alone and misunderstood, as their experience is so different from that of most people with asthma.⁵

Adolescents with severe asthma

The teenage years are a time of great psychological and physiological development which can impact on asthma management. It is vital to ensure that the young person has a good understanding of their condition and treatment and appropriate knowledge to enable supported self-management. The process of transition from pediatric to adult care should help support the young person in gaining greater autonomy and responsibility for their own health and wellbeing. Severe asthma may improve over 3 years in ~30% of male and female adolescents; the only predictor of asthma becoming non-severe was higher baseline blood eosinophils.⁷ Studies with longer follow-up time are needed.

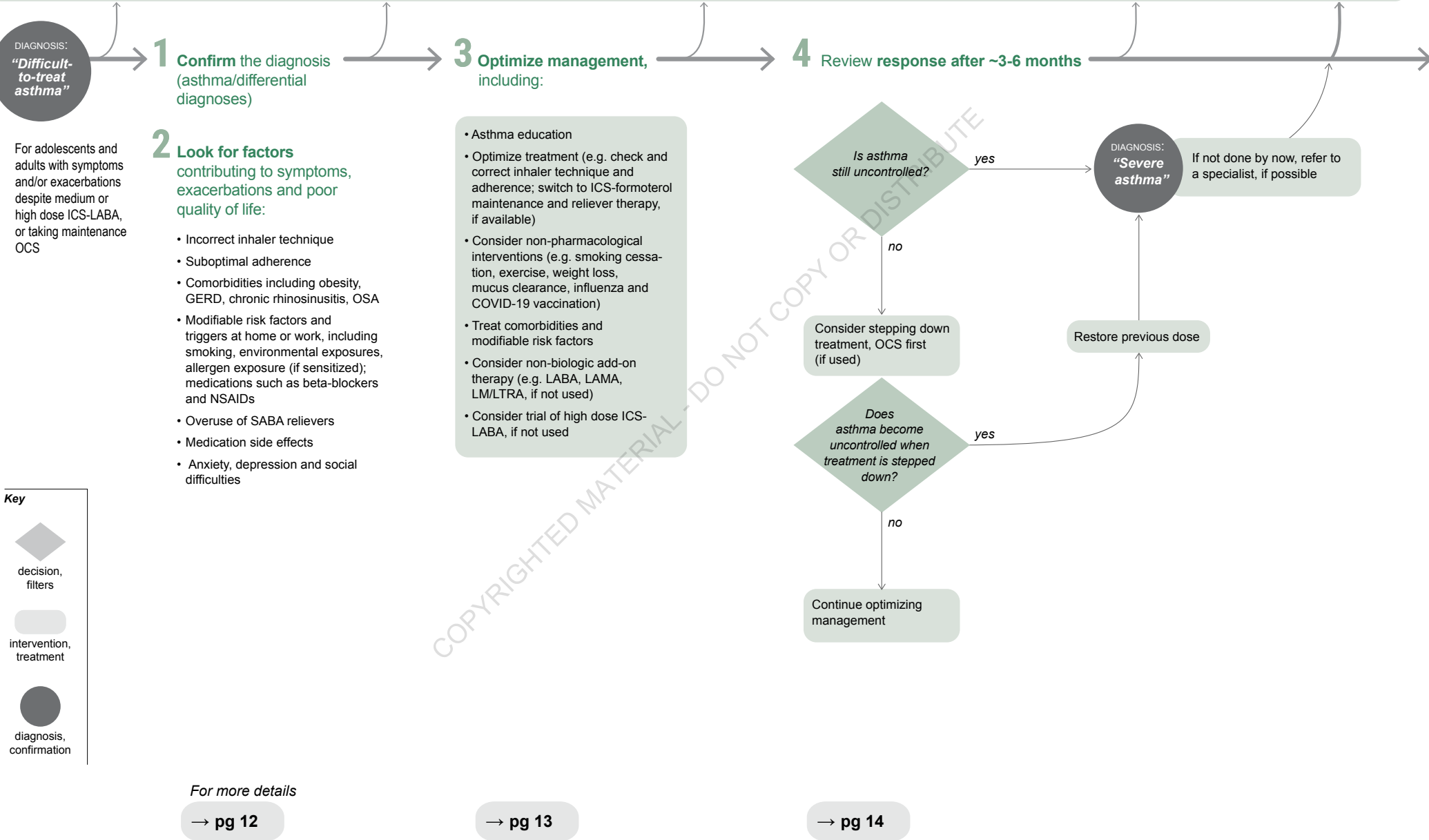
Healthcare utilization and costs

Severe asthma has very high healthcare costs due to medications, physician visits, hospitalizations, and the costs of OCS side-effects. In a UK study, healthcare costs per patient were higher than for type 2 diabetes, stroke, or COPD.⁸ In a Canadian study, severe uncontrolled asthma was estimated to account for more than 60% of asthma costs.⁹

Patients with severe asthma and their families also bear a significant financial burden, not only for medical care and medications, but also through lost earnings and career choices.

Investigate and manage difficult-to-treat asthma in adults and adolescents

Consider referring to specialist or severe asthma clinic at any stage



Assess and treat severe asthma phenotypes

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities, non-pharmacologic strategies)

5 Investigate further and provide patient support

- Investigate for comorbidities/differential diagnoses and treat/refer as appropriate
 - Consider: CBC, CRP, IgG, IgA, IgM, IgE, fungal precipitins; CXR and/or HRCT chest; DLCO; DEXA scan
 - Skin prick testing or specific IgE for relevant allergens, if not already done
 - Consider screening for adrenal insufficiency in patients taking maintenance OCS or high dose ICS
 - If blood eosinophils $\geq 300/\mu\text{L}$, look for and treat non-asthma causes, including parasites (e.g. Strongyloides serology, or stool examination)
 - If hypereosinophilia e.g. $\geq 1500/\mu\text{L}$, consider causes such as EGPA
 - Other directed testing (e.g. ANCA, CT sinuses, BNP, echocardiogram) based on clinical suspicion
- Consider need for social/psychological support
- Involve multidisciplinary team care (if available)
- Invite patient to enroll in registry (if available) or clinical trial (if appropriate)

For more details

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6 Assess the severe asthma phenotype

Could patient have Type 2 airway inflammation?

yes

Type 2 inflammation

- Blood eosinophils $\geq 150/\mu\text{L}$ and/or
- FeNO ≥ 20 ppb and/or
- Sputum eosinophils $\geq 2\%$, and/or
- Asthma is clinically allergen-driven (Repeat blood eosinophils and FeNO up to 3x, at least 1-2 weeks after OCS or on lowest possible OCS dose)

Note: these are **not** the criteria for add-on biologic therapy (see 8)

no

7 Consider other treatments

Type 2 airway inflammation

- Consider adherence tests
- Consider increasing the ICS dose for 3-6 months
- Consider add-on non-biologic treatment for specific Type 2 clinical phenotypes, e.g. AERD, ABPA, chronic rhinosinusitis, nasal polyposis, atopic dermatitis

No evidence of Type 2 airway inflammation

- Review the basics: differential diagnosis, inhaler technique, adherence, comorbidities, side-effects
- Avoid exposures (tobacco smoke, allergens, irritants)
- Consider investigations (if available and not done)
 - Sputum induction
 - High resolution chest CT
 - Bronchoscopy for alternative/additional diagnoses
- Consider trial of add-on treatments (if available and not already tried)
 - LAMA
 - Low dose azithromycin
 - Anti-IL4R α * if taking maintenance OCS
 - Anti-TSLP * (but insufficient evidence in patients on maintenance OCS)
 - As last resort, consider add-on low dose OCS, but implement strategies to minimize side-effects
- Consider bronchial thermoplasty (+ registry)
- Stop ineffective add-on therapies

* Check local eligibility criteria for specific biologic therapies as these may vary from those listed

Is add-on Type 2 biologic therapy available/affordable?

yes

no

If add-on Type 2-targeted biologic therapy is NOT available/affordable

- Consider higher dose ICS, if not used
- Consider other add-on therapy (e.g. LAMA, LM/LTRA, low dose azithromycin)
- As last resort, consider add-on low dose OCS, but implement strategies to minimize side-effects
- Stop ineffective add-on therapies

Go to section 10

Not currently eligible for T2-targeted biologic therapy

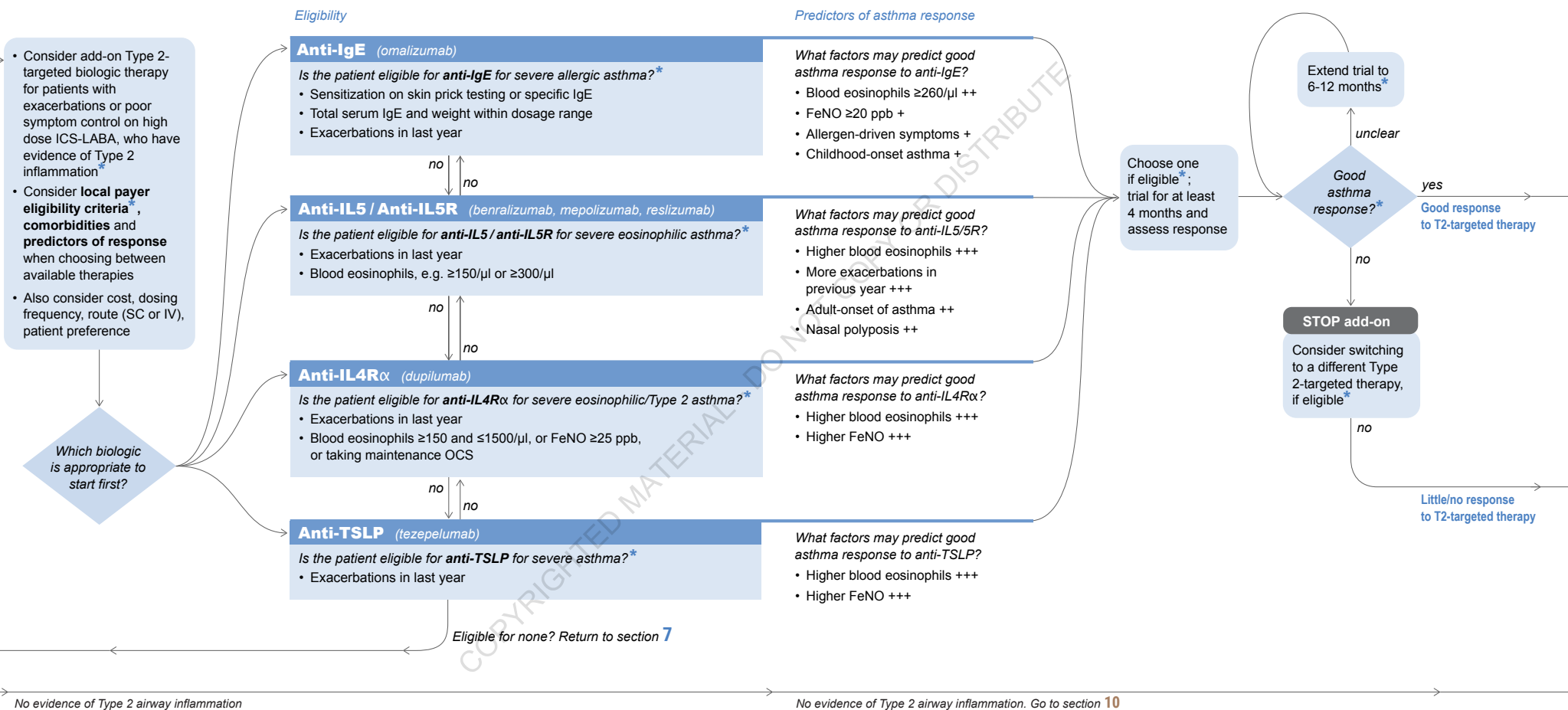
Go to section 10

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Assess and treat severe asthma phenotypes *cont'd*

Continue to optimize management as in section 3 (including inhaler technique, adherence, comorbidities, non-pharmacologic strategies)

8 Consider **add-on biologic Type 2-targeted** treatments

* Check local eligibility criteria for specific biologic therapies as these may vary from those listed

For more details

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Monitor / Manage severe asthma treatment

Continue to optimize management

9 Review response

- Asthma: symptom control, exacerbations, lung function
- Type 2 comorbidities e.g. nasal polyposis, atopic dermatitis
- Medications: treatment intensity, side-effects, affordability
- Patient satisfaction

If good response to Type 2-targeted therapy

- Re-evaluate the patient every 3-6 months*
- For **oral treatments**: consider decreasing/stopping OCS first (and check for adrenal insufficiency), then stopping other add-on medication
- For **inhaled treatments**: consider decreasing after 3-6 months; continue at least moderate dose ICS-LABA
- Re-evaluate need for ongoing biologic therapy
- Order of reduction of treatments based on observed benefit, potential side-effects, cost and patient preference

yes

If no good response to Type 2-targeted therapy

- Stop the biologic therapy
- Review the basics: differential diagnosis, inhaler technique, adherence, comorbidities, side-effects, emotional support
- Consider high resolution chest CT (if not done)
- Reassess phenotype and treatment options
 - Induced sputum (if available)
 - Consider add-on low dose azithromycin
 - Consider bronchoscopy for alternative/additional diagnoses
 - As last resort, consider add-on low dose OCS, but implement strategies to minimize side-effects
 - Consider bronchial thermoplasty (+ registry)
- Stop ineffective add-on therapies
- Do not stop ICS

no

No evidence of Type 2 airway inflammation. Go to section 10

* Check local eligibility criteria for specific biologic therapies as these may vary from those listed

For more details

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10Continue to **optimize management** as in section 3, including:

- Inhaler technique
- Adherence
- Comorbidity management
- Non-pharmacologic strategies
- Patients' social/emotional needs
- Two-way communication with GP for ongoing care

Notes:

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Care by GP or SPECIALIST

1 Confirm the diagnosis (asthma or differential diagnoses)

A patient is classified as having difficult-to-treat asthma if they have persistent asthma symptoms and/or exacerbations despite prescribing of medium or high-dose ICS with another controller such as LABA, or maintenance OCS, or require high-dose ICS-LABA treatment to maintain good symptom control and prevent exacerbations. Difficult-to-treat asthma does not mean a 'difficult patient'.

Consider referral to a specialist or severe asthma clinic at any stage, particularly if:

- There is difficulty confirming the diagnosis of asthma
- Patient has frequent urgent healthcare utilization
- Patient needs frequent or maintenance OCS
- Occupational asthma is suspected
- The patient has food allergy or anaphylaxis, as this increases the risk of death
- Symptoms are suggestive of infective or cardiac cause
- Symptoms are suggestive of complications such as bronchiectasis
- Patient has multimorbidity.

Are the symptoms due to asthma?

Perform a careful history and physical examination to identify whether symptoms are typical of asthma, or are more likely due to an alternative diagnosis or comorbidity:

- **Dyspnea:** COPD, obesity, cardiac disease, deconditioning
- **Cough:** inducible laryngeal obstruction (also called vocal cord dysfunction [VCD]), upper airway cough syndrome (also called post-nasal drip), gastro-esophageal reflux disease (GERD), bronchiectasis, ACE inhibitors
- **Wheeze:** obesity, COPD, tracheobronchomalacia, VCD.

Investigate according to clinical suspicion and age (see GINA 2023 Box 1-5).¹⁰

How can the diagnosis of asthma be confirmed?

Confirmation of the diagnosis is important, because in 12–50% of people assumed to have severe asthma, asthma is not found to be the correct diagnosis.¹¹

Perform spirometry, before and after bronchodilator, to assess baseline lung function and seek objective evidence of variable expiratory airflow limitation. If initial bronchodilator responsiveness testing is negative (≤ 200 mL or $\leq 12\%$ increase in FEV_1), consider repeating after withholding bronchodilators or when symptomatic, or consider stepping controller treatment up or down before further investigations such as bronchial provocation testing (see GINA 2023 Box 1-3).¹⁰

Check full flow-volume curve to assess for upper airway obstruction. If spirometry is normal or is not available, provide the patient with a peak flow meter and diary for assessing variability; consider bronchial provocation testing if patient is able to withhold bronchodilators (SABA for at least 6 hours, LABA for up to 2 days depending on duration of action).¹²

See GINA 2023 (Chapter 1)¹⁰ for details about diagnostic testing, including strategies for confirming the diagnosis of asthma in patients already taking ICS-containing treatment.

Airflow limitation may be persistent in patients with long-standing asthma, due to remodeling of the airway walls, or limited lung development in childhood. It is important to document lung function when the diagnosis of asthma is first made. Specialist advice should be obtained if the history is suggestive of asthma but the diagnosis cannot be confirmed by spirometry.

2 Look for factors contributing to symptoms, exacerbations and poor quality of life

Systematically consider factors that may be contributing to uncontrolled symptoms or exacerbations, or poor quality of life, and that can be treated. The most important modifiable factors include:

- **Incorrect inhaler technique** (seen in up to 80% patients): ask the patient to show you how they use their inhaler; compare with a checklist or video.
- **Suboptimal adherence** (up to 75% asthma patients): ask empathically about frequency of use (e.g. *‘Many patients don’t use their inhaler as prescribed. In the last 4 weeks, how many days a week have you been taking it – not at all, 1 day a week, 2, 3 or more?’* or, *‘Do you find it easier to remember your inhaler in the morning or the evening?’*) (see GINA 2023 Box 3-23).⁹ Ask about barriers to medication use, including cost, and concerns about necessity or side-effects. Check dates on inhalers and view dispensing data, if available. Electronic inhaler monitoring, if available, can be helpful in screening for poor adherence.
- **Comorbidities**: review history and examination for comorbidities that can contribute to respiratory symptoms, exacerbations, or poor quality of life. These include anxiety and depression, obesity, deconditioning, chronic rhinosinusitis, inducible laryngeal obstruction, GERD, COPD, obstructive sleep apnea, bronchiectasis, cardiac disease, and kyphosis due to osteoporosis. Investigate according to clinical suspicion.
- **Modifiable risk factors and triggers**: identify factors that increase the risk of exacerbations, e.g. smoking, environmental tobacco exposure, other environmental exposures at home or work including allergens (if sensitized), indoor and outdoor air pollution, molds and noxious chemicals, and medications such as beta-blockers or nonsteroidal anti-inflammatory drugs (NSAIDs). For allergens, check for sensitization using skin prick testing or specific IgE.
- **Regular or over-use of SABAs** causes beta-receptor down-regulation and reduction in response,¹³ leading in turn to greater use. Overuse may also be habitual. Dispensing of ≥ 3 SABA canisters per year (average use more than daily) is associated with increased risk of emergency department visit or hospitalization independent of severity,^{14,15} and dispensing of ≥ 12 canisters per year (one a month) with substantially increased risk of death.^{15,16} Risks are higher with nebulized SABA.¹⁷
- **Anxiety, depression and social and economic problems**: these are very common in patients with difficult-to-treat asthma⁵ and contribute to symptoms, impaired quality of life, and poor adherence.
- **Medication side-effects**: systemic effects, particularly with frequent or continuous OCS, or long-term high dose ICS may contribute to poor quality of life and increase the likelihood of poor adherence. Local side-effects of dysphonia or thrush may occur with high dose or potent ICS especially if inhaler technique is poor. Consider drug interactions including risk of adrenal suppression with use of P450 inhibitors such as itraconazole.

3 Review and optimize management

Review and optimize treatment for asthma, and for comorbidities and risk factors identified in Section 2:

- **Provide asthma self-management education**, and confirm that patient has (and knows how to use) a personalized written or electronic asthma action plan. Refer to an asthma educator if available.
- **Optimize inhaled controller medications**: confirm that the inhaler is suitable for the patient; check and correct inhaler technique with a physical demonstration and teach-back method, check inhaler technique again at each visit.¹⁸ Address suboptimal adherence, both intentional and unintentional.¹⁹ Switch to ICS-formoterol maintenance and reliever regimen if available, to reduce the risk of exacerbations.²⁰
- **Consider non-pharmacologic add-on therapy**, e.g. smoking cessation, physical exercise,²¹ healthy diet, weight loss, mucus clearance strategies, influenza vaccination, breathing exercises, allergen avoidance, if feasible, for patients who are sensitized and exposed (see GINA 2023 Box 3-18).¹⁰

- **Treat comorbidities and modifiable risk factors** identified in Section 2, where there is evidence for benefit; however, there is no evidence to support routine treatment of asymptomatic GERD. Avoid medications that make asthma worse (e.g. beta-blockers including eye-drops; aspirin and other NSAIDs in patients with aspirin-exacerbated respiratory disease). Refer for management of mental health problems if relevant.
- **Consider trial of non-biologic medication** added to medium/high dose ICS, e.g., LABA, LAMA, LTRA if not already tried. Note FDA boxed warning about potential neuropsychiatric effects with LTRAs.²²
- **Consider trial of high dose ICS-LABA**, if not currently used.

4 Review response after ~3–6 months

Schedule a review visit to assess the response to the above interventions. Timing of the review visit depends on clinical urgency and what changes to treatment have been made.

When assessing the response to treatment, specifically review:

- Symptom control: symptom frequency, reliever use, night waking due to asthma, activity limitation
- Exacerbations since previous visit, and how they were managed
- Medication side-effects
- Inhaler technique and adherence
- Lung function
- Patient satisfaction and concerns.

→ *Is asthma still uncontrolled, despite optimized therapy?*

YES: if asthma is still uncontrolled, the diagnosis of severe asthma has been confirmed. If not done by now, refer the patient to a specialist or severe asthma clinic if possible.

NO: if asthma is now well controlled, consider stepping down treatment. Start by decreasing/ceasing OCS first (if used), checking for adrenal insufficiency, then remove other add-on therapy, then decrease ICS dose, but do not stop ICS. See GINA 2023 (Box 3-16)¹⁰ for how to gradually down-titrate treatment intensity.

→ *Does asthma become uncontrolled when treatment is stepped down?*

YES: if asthma symptoms become uncontrolled or an exacerbation occurs when high dose treatment is stepped down, the diagnosis of severe asthma has been confirmed. Restore the patient's previous dose to regain good asthma control, and refer to a specialist or severe asthma clinic if possible, if not done already.

NO: if symptoms and exacerbations remain well-controlled despite treatment being stepped down, the patient does not have severe asthma. Continue optimizing management.

Assess and treat severe asthma phenotypes

Care by **SPECIALIST**, in **SEVERE ASTHMA CLINIC IF AVAILABLE**

5 Investigate further and provide patient support

Further assessment and management should be by a specialist, preferably in a multidisciplinary severe asthma clinic if available. The team may include a certified asthma educator and health professionals from fields such as speech pathology, ear nose and throat (ENT), social work and mental health.

What other tests may be considered at the specialist level?

Additional investigations may be appropriate for identifying less common comorbidities and differential diagnoses contributing to symptoms and/or exacerbations. Tests should be based on clinical suspicion, and may include:

- Blood tests: complete blood count, CRP, IgG, IgA, IgM, IgE, fungal precipitins including *Aspergillus*
- Allergy testing for clinically relevant allergens: skin prick test or specific IgE, if not already done
- Other pulmonary investigations: DLCO; CXR or high-resolution chest CT
- Bone density scan, because of risk of osteoporosis with maintenance or frequent OCS or long-term high dose ICS.²³

If blood eosinophils are $\geq 300/\mu\text{L}$, look for and treat non-asthma causes, including parasites (e.g., *Strongyloides* serology or stool examination), because parasitic infection may be the cause of the blood eosinophilia, and because OCS or biologic therapy in a patient with untreated parasitic infection could potentially lead to disseminated disease. *Strongyloides* infection is usually asymptomatic.²⁴

If hypereosinophilia is found, e.g., blood eosinophils $\geq 1500/\mu\text{L}$, consider causes such as eosinophilic granulomatosis with polyangiitis (EGPA).

Consider need for social/psychological support

Refer patients to support services, where available, to help them deal with the emotional, social and financial burden of asthma and its treatment, including during and after severe exacerbations.⁵ Consider the need for psychological or psychiatric referral, including for patients with anxiety and/or depression.

Involve multidisciplinary team care (if available)

Multidisciplinary assessment and treatment of patients with severe asthma increases the identification of comorbidities, and improves outcomes.²⁵

Invite patient to enroll in a registry (if available) or clinical trial (if appropriate)

Systematic collection of data will help in understanding the mechanisms and burden of severe asthma. There is a need for pragmatic clinical trials in severe asthma, including studies comparing two or more active treatments. Participants in randomized controlled trials designed for regulatory purposes may not necessarily be representative of patients seen in clinical practice. For example, a registry study found that over 80% of patients with severe asthma would have been excluded from key studies evaluating biologic therapy.²⁶

6 Assess the severe asthma phenotype

The next step is to assess the patient's inflammatory phenotype – is it Type 2 high or low?

What is Type 2 inflammation?

Type 2 inflammation is found in the majority of people with severe asthma. It is characterized by cytokines such as interleukin (IL)-4, IL-5 and IL-13, which are often produced by the adaptive immune system on recognition of allergens. It may also be activated by viruses, bacteria and irritants that stimulate the innate immune system via production of IL-33, IL-25 and thymic stromal lymphopoietin (TSLP) by epithelial cells. Type 2 inflammation is often characterized by elevated eosinophils or increased FeNO, and may be accompanied by atopy, whereas non-Type 2 inflammation is often characterized by increased neutrophils.²⁷

In many patients with asthma, Type 2 inflammation rapidly improves when ICS are taken regularly and correctly; this is classified as mild or moderate asthma. In severe asthma, Type 2 inflammation may be relatively refractory to high dose ICS. It may respond to OCS but their serious adverse effects^{3,28} mean that alternative treatments should be sought.

In adult patients with uncontrolled asthma despite medium or high dose ICS plus LABA or other controllers, a history of exacerbations in the previous year, higher blood eosinophil counts and higher FeNO levels are associated with a greater risk of severe exacerbations.²⁹

Could the patient have refractory or underlying Type 2 inflammation?

The possibility of refractory Type 2 inflammation should be considered if any of the following are found while the patient is taking high-dose ICS or daily OCS:

- Blood eosinophils $\geq 150/\mu\text{L}$, and/or
- FeNO $\geq 20\text{ppb}$, and/or
- Sputum eosinophils $\geq 2\%$, and/or
- Asthma is clinically allergen-driven.

Patients requiring maintenance OCS may also have underlying Type 2 inflammation. However, biomarkers of Type 2 inflammation (blood eosinophils, sputum eosinophils and FeNO) are often suppressed by OCS. If possible, therefore, these tests should be performed before starting OCS (a short course, or maintenance treatment), or at least 1–2 weeks after a course of OCS, or on the lowest possible OCS dose.

The above criteria are suggested for initial assessment; those for blood eosinophils and FeNO are based on the lowest levels associated with response to some biologics. They are not the criteria for eligibility for Type 2-targeted biologic therapy, which may differ – see section 8 and local criteria.

Consider repeating blood eosinophils and FeNO up to 3 times (e.g., when asthma worsens, before giving OCS, or at least 1–2 weeks after a course of OCS, or on the lowest possible OCS dose), before assuming asthma is non-Type 2. One study of patients with uncontrolled asthma taking medium- to high-dose ICS-LABA found that 65% had a shift in their blood eosinophil category over 48–56 weeks.³⁰

Why is the inflammatory phenotype assessed on high dose ICS?

- Most RCT evidence about Type 2 targeted biologics is in such patients.
- Modifiable ICS treatment problems such as poor adherence and incorrect inhaler technique are common causes of uncontrolled Type 2 inflammation.
- Currently, the high cost of biologic therapies generally precludes their widespread clinical use in patients whose symptoms or exacerbations and Type 2 biomarkers are found to respond to ICS when it is taken correctly.

7.1 Consider other treatments if there is NO evidence of Type 2 inflammation

If the patient has no evidence of persistent Type 2 inflammation (as in section 6):

- Review the basics for factors that may be contributing to symptoms or exacerbations: differential diagnosis, inhaler technique, adherence, comorbidities, medication side-effects (Section 2).
- Recommend avoidance of relevant exposures (tobacco smoke, pollution, allergens if sensitized and there is evidence of benefit from withdrawal, irritants, infections). Ask about exposures at home and at work.
- Consider additional diagnostic investigations (if available and not already done): sputum induction to confirm inflammatory phenotype, high resolution chest CT, bronchoscopy to exclude unusual comorbidities or alternative diagnoses such as tracheobronchomalacia or sub-glottic stenosis; functional laryngoscopy for inducible laryngeal obstruction.
- Consider a trial of add-on treatment if available and not already tried (but check local eligibility and payer criteria for specific therapies as they may vary from those listed):
 - LAMA as separate inhaler or triple combination therapy³¹
 - Low-dose azithromycin (adults)^{32,33} but first check sputum for atypical mycobacteria, check ECG for long QTc (and re-check after a month on treatment), and consider potential for antibiotic resistance.
 - Anti-IL4Rα if taking maintenance OCS (see section 8 for more details)
 - Anti-TSLP (thymic stromal lymphopoietin) (but insufficient evidence in patients taking maintenance OCS; see section 8 for more details)
 - As a last resort, consider add-on low dose OCS, but implement strategies such as alternate-day treatment to minimize side-effects.
- Consider bronchial thermoplasty, with registry enrollment. However, the evidence for efficacy and long-term safety is limited.^{34,35} For more details, see 2023 GINA report page 87.
- Stop ineffective add-on therapies.
- Continue to optimize treatment, including inhaler technique, adherence, non-pharmacologic strategies and treating comorbidities (see sections 3 and 10).

7.2 Consider non-biologic treatments if there IS evidence of Type 2 airway inflammation

For patients with elevated Type 2 biomarkers despite high dose ICS (see section 5), consider non-biologic options first, given the current high cost of biologic therapy:

- **Assess adherence objectively** by monitoring of prescribing or dispensing records, blood prednisone levels,³⁶ or electronic inhaler monitoring.³⁷ In one study, suppression of high FeNO after 5 days of directly observed therapy was an indicator of past poor adherence.³⁸
- **Consider increasing the ICS dose** for 3–6 months, and review again.
- **Consider add-on non-biologic treatment for specific Type 2 clinical phenotypes** (see GINA 2023 Strategy Report, Chapter 3.4).¹⁰ For example, for aspirin-exacerbated respiratory disease (AERD), consider add-on LTRA and possibly aspirin desensitization. For allergic bronchopulmonary aspergillosis (ABPA), consider add-on OCS ± anti-fungal agent. For chronic rhinosinusitis with or without nasal polyps, consider intensive intranasal corticosteroids; surgical advice may be needed. For patients with atopic dermatitis, topical steroidal or non-steroidal therapy may be helpful.

7.3 Is Type 2-targeted biologic therapy available and affordable?

If NOT:

- Consider higher dose ICS-LABA, if not used.
- Consider other add-on therapy, e.g. LAMA, LTRA, low dose azithromycin if not used.
- As last resort, consider add-on low dose OCS, but implement strategies to minimize side-effects.
- Stop ineffective add-on therapies.
- Continue to optimize treatment, including inhaler technique, adherence, non-pharmacologic strategies and treating comorbidities (see sections 3 and 10).

8 Consider add-on biologic Type 2-targeted treatments

If available and affordable, consider an add-on Type 2 targeted biologic for patients with exacerbations and/or poor symptom control despite taking at least high-dose ICS-LABA, and who have allergic or eosinophilic biomarkers or need maintenance OCS. Where relevant, test for parasitic infection, and treat if present, before commencing treatment (see section 5).

Consider whether to start first with anti-IgE, anti-IL5/5R, anti-IL4R or anti-TSLP. When choosing between available therapies, consider the following:

- Does the patient satisfy local payer eligibility criteria*?
- Type 2 comorbidities such as atopic dermatitis, nasal polyposis
- Predictors of asthma response (see below)
- Cost
- Dosing frequency
- Delivery route (IV or SC; potential for self-administration)
- Patient preference.

Always check local payer eligibility criteria for biologic therapy, as they may vary substantially. However, GINA recommends the use of biologic therapy **only** for patients with severe asthma, and **only** after treatment has been optimized. **For** any biologic therapy, ensure that the manufacturer's and/or regulator's instructions for storage, administration and the duration of monitoring post-administration are followed.

Provide the patient with advice about what to do if they experience any adverse effects, including hypersensitivity reactions. GINA suggests that the first dose of asthma biologic therapy should not be given on the same day as a COVID-19 vaccine, so that adverse effects of either can be more easily distinguished.

There is an urgent need for head-to-head comparisons of different biologics in patients eligible for more than one biologic.

→ **Add-on anti-IgE for severe allergic asthma**

Regulatory approvals may include: omalizumab for ages ≥6 years, given by SC injection every 2–4 weeks, with dose based on weight and serum IgE. May also be indicated for nasal polyps and chronic spontaneous (idiopathic) urticaria. Self-administration may be an option. Check local regulatory and payer criteria, as they may differ from these.

Mechanism: binds to Fc part of free IgE, preventing binding of IgE to FcεR1 receptors, reducing free IgE and down-regulating receptor expression

Eligibility criteria (in addition to criteria for severe asthma) vary between payers, but usually include:

- Sensitization to inhaled allergen(s) on skin prick testing or specific IgE, and
- Total serum IgE and body weight within local dosing range, and
- More than a specified number of exacerbations within the last year.

Outcomes: Meta-analysis of RCTs in severe allergic asthma: 44% decrease in severe exacerbations; improved quality of life.³⁹ No double-blind randomized controlled trials of OCS-sparing effect. In a meta-analysis of observational studies in patients with severe allergic asthma, there was a 59% reduction in exacerbation rate, a 41% reduction in the proportion of patients receiving maintenance OCS, and a significant improvement in symptom control.⁴⁰ In patients with nasal polyps, omalizumab improved subjective and objective outcomes.⁴¹ See GINA 2023 (Chapter 3.4)¹⁰ for additional details about treatment of chronic rhinosinusitis with nasal polyps (CRSwNP). A registry study of omalizumab in pregnancy found no increased risk of congenital malformations.⁴²

Potential predictors of good asthma response to omalizumab:

- Baseline IgE level does not predict likelihood of response⁴³
- In a post-hoc analysis of one clinical trial, a greater decrease in exacerbations was observed (cf. placebo) with blood eosinophils $\geq 260/\mu\text{L}$ ^{44,45} or FeNO ≥ 19.5 ppb⁴⁴ (these criteria representing their median value in that study) but in two large observational studies, exacerbations were reduced with both low or high blood eosinophils⁴⁶⁻⁴⁸ or with both low or high FeNO.⁴⁸
- Childhood-onset asthma
- Clinical history suggesting allergen-driven symptoms.

Adverse effects: injection site reactions; anaphylaxis in ~0.2% patients⁴⁹

Suggested initial trial: at least 4 months

→ **Add-on anti-IL5 or anti-IL5R for severe eosinophilic asthma**

Regulatory approvals may include: For ages ≥ 12 years: mepolizumab (anti-IL5), 100 mg by SC injection every 4 weeks, or benralizumab (anti-IL5 receptor α), 30 mg by SC injection every 4 weeks for 3 doses then every 8 weeks. For ages ≥ 18 years: reslizumab (anti-IL5), 3 mg/kg by IV infusion every 4 weeks. For ages 6–11 years, mepolizumab (anti-IL5), 40 mg by SC injection every 4 weeks. Mepolizumab may also be indicated for eosinophilic granulomatosis with polyangiitis (EGPA), hypereosinophilic syndrome and chronic rhinosinusitis with nasal polyps. Self-administration may be an option. Check local regulatory and payer criteria, as they may differ from these.

Mechanism: mepolizumab and reslizumab bind circulating IL-5; benralizumab binds to IL-5 receptor alpha subunit leading to apoptosis (cell death) of eosinophils.

Eligibility criteria (in addition to criteria for severe asthma): these vary by product and between payers, but usually include:

- More than a specified number of severe exacerbations in the last year, and
- Blood eosinophils above locally specified level (e.g. ≥ 150 or $\geq 300/\mu\text{L}$). There is sometimes a different eosinophil cut-point for patients taking OCS.

Outcomes: Meta-analysis of RCTs in severe asthma patients with exacerbations in the last year, with varying eosinophil criteria: anti-IL5 and anti-IL5R led to 47–54% reduction in severe exacerbations. Improvements in quality of life, lung function and symptom control were significant,³⁹ but less than the clinically important difference. All reduced blood eosinophils; almost completely with benralizumab.⁵⁰ In post hoc analyses, clinical outcomes with mepolizumab or benralizumab were similar in patients with and without an allergic phenotype.^{51,52} In patients taking OCS, median OCS dose was able to be reduced by ~50% with mepolizumab⁵³ or benralizumab⁵⁴ compared with placebo. In urban children aged 6 years and older with eosinophilic exacerbation-prone asthma, an RCT showed a reduction in the number of exacerbations with subcutaneous mepolizumab versus placebo.⁵⁵ No differences were seen in lung function, a composite asthma score (CASI), or physician–patient global assessment.⁵⁵ In patients with nasal polyps, mepolizumab improved subjective and objective outcomes and reduced the need for surgery,^{56,57} and in patients with nasal polyps and severe eosinophilic asthma, benralizumab improved subjective outcomes for both conditions and improved quality of life.⁵⁸ See GINA 2023 (Chapter 3.4)¹⁰ for additional details about treatment of nasal polyps.

Potential predictors of good asthma response to anti-IL5 or anti-IL5R:

- Higher blood eosinophils (strongly predictive)⁵⁹
- Higher number of severe exacerbations in previous year (strongly predictive)⁵⁹
- Adult-onset asthma⁶⁰
- Nasal polyposis⁵²
- Maintenance OCS at baseline⁵²
- Low lung function (FEV₁ <65% predicted in one study).⁶¹

Adverse effects: In adults, injection site reactions; anaphylaxis rare; adverse events generally similar between active and placebo. In children, more skin/subcutaneous tissue and nervous system disorders (e.g., headache, dizziness, syncope) were seen with mepolizumab than placebo.⁵⁵

Suggested initial trial: at least 4 months

→ **Add-on anti-IL4Rα for severe eosinophilic/Type 2 asthma or patients requiring maintenance OCS**

Regulatory approvals may include: For ages ≥12 years: dupilumab (anti-IL4 receptor α), 200 mg or 300 mg by SC injection every 2 weeks for severe eosinophilic/Type 2 asthma; 300 mg by SC injection every 2 weeks for OCS-dependent severe asthma or if there is concomitant moderate/severe atopic dermatitis. For children 6–11 years with severe eosinophilic/Type 2 asthma by SC injection with dose and frequency depending on weight. May also be indicated for treatment of skin conditions including moderate-to-severe atopic dermatitis, chronic rhinosinusitis with nasal polyps and eosinophilic esophagitis. Self-administration may be an option. Check local regulatory and payer criteria, as they may differ from these.

Mechanism: binds to interleukin-4 (IL-4) receptor alpha, blocking both IL-4 and IL-13 signaling

Eligibility criteria (in addition to criteria for severe asthma): these vary between payers, but usually include:

- More than a specified number of severe exacerbations in the last year, and
- Type 2 biomarkers above a specified level (e.g. blood eosinophils ≥150/μl and ≤1500/μl; or FeNO ≥25 ppb); OR requirement for maintenance OCS.

Outcomes: Meta-analysis of RCTs in patients with uncontrolled severe asthma (ACQ-5 ≥1.5) and at least one exacerbation in the last year: anti-IL4Rα led to 56% reduction in severe exacerbations; improvements in quality of life, symptom control and lung function were significant,⁶² but less than the clinically important difference. In a post hoc analysis, clinical outcomes were similar in patients with allergic and non-allergic phenotype at baseline.⁶³ In patients with OCS-dependent severe asthma, without minimum requirements for blood eosinophil count or FeNO, the median reduction in OCS dose with anti-IL4Rα versus placebo was 50%.⁶⁴ In follow-up, changes were maintained through 2 years of follow-up.⁶⁵ In children 6–11 years with eosinophilic/Type 2 asthma, dupilumab reduced severe exacerbation rate and increased lung function; children taking maintenance OCS were excluded.⁶⁶ In patients with chronic rhinosinusitis with nasal polyps, dupilumab reduced the size of nasal polyps, improved nasal symptoms and reduced the need for OCS or sinus surgery.^{67,68} See GINA 2023 (Chapter 3.4)¹⁰ for additional details about nasal polyps.

Potential predictors of good asthma response to dupilumab:

- Higher blood eosinophils (strongly predictive)⁶⁹
- Higher FeNO (strongly predictive)⁶⁹

Adverse effects: injection-site reactions; transient blood eosinophilia; rare cases of eosinophilic granulomatosis with polyangiitis (EGPA). Anti-IL4Rα is not suggested for patients with baseline or historic blood eosinophils >1,500 cells/μL because of limited evidence (such patients were excluded from Phase III trials).

Suggested initial trial: at least 4 months

→ *Add-on anti-TSLP for severe asthma*

Regulatory approvals may include: For ages ≥12 years: tezepelumab (anti-TSLP), 210 mg by SC injection every 4 weeks. Self-administration may be an option. Check local regulatory and payer criteria, as they may differ from these.

Mechanism: tezepelumab binds circulating TSLP, a bronchial epithelial cell-derived alarmin implicated in multiple downstream processes involved in asthma pathophysiology.

Eligibility criteria (in addition to criteria for severe asthma): these vary between payers, but usually include:

- Severe exacerbations in the last year.

Anti-TSLP may also be considered in patients with no elevated T2 markers (section 7.1)

Outcomes: In two RCTs in severe asthma patients with severe exacerbations in the last year, anti-TSLP led to 30–70% reduction in severe exacerbations, and improved quality of life, lung function and symptom control, irrespective of allergic status.^{70,71} There was a clear correlation between higher baseline blood eosinophils or FeNO and better clinical outcomes.⁷¹ In patients taking maintenance OCS, anti-TSLP did not lead to a reduced OCS dose compared with placebo.⁷²

Potential predictors of good asthma response to anti-TSLP:

- Higher blood eosinophils (strongly predictive)
- Higher FeNO levels (strongly predictive)

Adverse effects: injection site reactions; anaphylaxis is rare; adverse events generally similar between active and placebo groups

Suggested initial trial: at least 4 months

→ *Review response to an initial trial of add-on Type 2 targeted therapy*

- At present, there are no well-defined criteria for a good response, but consider exacerbations, symptom control, lung function, side-effects, treatment intensity (including OCS dose), and patient satisfaction.
- If the response is unclear, consider extending the trial to 6–12 months.
- If there is no response, stop the biologic therapy, and consider switching to a trial of a different Type 2-targeted therapy, if available and the patient is eligible. Also consider the patient's biomarkers (interval and during exacerbations, if available), and response of any comorbid Type 2 conditions (atopic dermatitis, nasal polyps etc). Review response as above.

Manage and monitor severe asthma treatment

Care by **SPECIALIST** and **GP IN COLLABORATION**

9 Review response and implications for treatment

Review response to add-on biologic therapy after 3–4 months, and every 3–6 months for ongoing care, including:

- Asthma: symptom control, e.g., Asthma Control Test, Asthma Control Questionnaire (ACQ-5); frequency and severity of exacerbations (e.g., whether OCS were needed), lung function
- Type 2 comorbidities, e.g. nasal polyposis, atopic dermatitis
- Medications: treatment intensity, including dose of OCS, side-effects, affordability
- Patient satisfaction.

→ *If the patient has had a good response to Type 2 targeted therapy:*

Re-evaluate the need for each asthma medication every 3–6 months, but do not completely stop ICS-containing therapy. Base the order of reduction or cessation of add-on treatments on the observed benefit when they were started, patient risk factors, medication side-effects, cost, and patient satisfaction.

For oral treatments, consider gradually decreasing or stopping OCS first, because of their significant adverse effects. Tapering in severe asthma may be supported by internet-based monitoring of symptom control and FeNO.⁷³ Monitor patients for risk of adrenal insufficiency, and provide patient and primary care physician with advice about the need for extra corticosteroid doses during injury, illness or surgery for up to 6 months after cessation of long-term OCS. Continue to assess for presence of osteoporosis, and review need for preventative strategies including bisphosphonates.²³

For inhaled treatments, consider reducing the ICS dose after 3–6 months, but do not completely stop ICS therapy. Current consensus advice is to continue at least medium dose ICS. Patients should be reminded of the importance of continuing their ICS-containing treatment. See GINA 2023 Strategy Report Box 3-16 for more details on stepping down.

For biologic treatments, current consensus advice is that, generally, for a patient with a good response, a trial of withdrawal of the biologic should not be considered until after at least 12 months of treatment, and only if asthma remains well controlled on medium-dose ICS therapy, and (for allergic asthma) there is no further exposure to a previous well-documented allergic trigger. There are few studies of cessation of biologic therapy,^{74,75} in these studies, symptom control worsened and/or exacerbations recurred for many (but not all) patients after cessation of the biologic. For example, in a double-blind randomized controlled trial, significantly more patients who stopped mepolizumab experienced a severe exacerbation within 12 months compared with those who continued treatment. There was a small increase in ACQ-5 but no significant difference between groups.⁷⁶ Long-term safety of several biologics has been reported up to 5 or more years.⁷⁷⁻⁷⁹

→ *If the patient has NOT had a good response to any Type 2 targeted therapy:*

Stop the biologic therapy

Review the basics for factors contributing to symptoms, exacerbations and poor quality of life (see Section 2): diagnosis/differential diagnosis, inhaler technique, adherence, modifiable risk factors and triggers including smoking and other environmental exposures at home or work, comorbidities including obesity, medication side-effects or drug interactions, socio-economic and mental health issues.

Consider additional investigations (if not already done): high resolution chest CT; induced sputum to confirm inflammatory phenotype, consider bronchoscopy for alternative or additional diagnoses; consider referral if available, including for diagnosis of alternative conditions.

Reassess treatment options (if not already done), such as:

- Add-on low-dose azithromycin^{32,33} (adults only); first check sputum for atypical mycobacteria and check ECG for long QTc (and re-check ECG after a month); consider potential for antibiotic resistance.
- As last resort, consider add-on low-dose maintenance OCS, but implement strategies such as alternate-day therapy and add-on bisphosphonates to minimize side-effects,²³ and alert patient to the need for additional corticosteroid therapy during illness or surgery.
- Consider bronchial thermoplasty (+ registry). See GINA 2023 Strategy Report page 87.

Stop ineffective add-on therapies, but do not completely stop ICS

10 Continue collaborative optimization of patient care

Ongoing management of a patient with severe asthma involves a collaboration between the patient, the primary care physician, specialist(s), and other health professionals, to optimize clinical outcomes and patient satisfaction.

Continue to review the patient every 3–6 months including:

- Clinical asthma measures (symptom control; exacerbations; lung function)
- Comorbidities
- The patient's risk factors for exacerbations
- Treatments (check inhaler technique and adherence; review need for add-on treatments; assess side-effects including of OCS; optimize comorbidity management and non-pharmacologic strategies)
- The patient's social and emotional needs.

The optimal frequency and location of review (GP or specialist) will depend on the patient's asthma control, risk factors and comorbidities, and their confidence in self-management, and may depend on local payer requirements and availability of specialist physicians.

Communicate regularly about:

- Outcome of review visits (as above)
- Patient concerns
- Action plan for worsening asthma or other risks
- Changes to medications (asthma and non-asthma); potential side-effects
- Indications and contact details for expedited review

Glossary of asthma medication classes

For more details, see GINA 2023 Strategy Report (www.ginasthma.org), Product Information from manufacturers, and local eligibility criteria from payers. Always check local eligibility criteria.

Medications

Action and use

Adverse effects

Medications for maintenance use

Inhaled corticosteroids (ICS)

Examples:

Beclometasone
Budesonide
Ciclesonide
Fluticasone propionate
Fluticasone furoate
Mometasone
Triamcinolone

Inhaler devices: pMDI or DPI

ICS-containing medications are the most effective anti-inflammatory medications for asthma. ICS reduce symptoms, increase lung function, reduce airway hyperresponsiveness, improve quality of life, and reduce the risk of exacerbations, asthma-related hospitalizations and death. ICS differ in their potency and bioavailability, but most of the benefit is seen at low doses (see 2023 GINA Strategy Report p.67 Box 3-14 for low, medium and high doses of different ICS). Adherence with ICS alone (i.e., not in combination with a bronchodilator) is usually very poor.

Most patients do not experience side-effects. Local side-effects include oropharyngeal candidiasis and dysphonia; these can be reduced by use of a spacer with pMDIs, and rinsing with water and spitting out after inhalation. Long-term high doses increase the risk of systemic side-effects such as osteoporosis, cataract and glaucoma.

ICS in combination with a long-acting beta₂-agonist bronchodilator (ICS-LABA)

Examples:

Beclometasone-formoterol
Budesonide-formoterol
Fluticasone furoate-vilanterol
Fluticasone propionate-formoterol
Fluticasone propionate-salmeterol
Mometasone-formoterol
Mometasone-indacaterol

Inhaler devices: pMDI or DPI

When a low-dose of ICS alone fails to achieve good control of asthma, the addition of LABA to maintenance ICS improves symptoms, lung function and reduces exacerbations in more patients, more rapidly, than doubling the dose of ICS.

Two regimens are available: low-dose combination beclometasone or budesonide with low-dose formoterol for both maintenance and reliever treatment (MART, GINA Track 1), and maintenance ICS-LABA with SABA or ICS-SABA as reliever (Track 2).

MART with low-dose ICS-formoterol reliever is preferred as it reduces exacerbations compared with conventional maintenance therapy with SABA as reliever, and is a simpler regimen. (See section on anti-inflammatory relievers below for as-needed ICS-formoterol in mild asthma; and section on add-on medications for ICS-LABA-LAMA).

The LABA component may be associated with tachycardia, headache or cramps.

LABA is safe for asthma when used in combination with ICS. LABA should not be used without ICS in asthma (or in patients with asthma+COPD) due to increased risk of serious adverse outcomes.

Medications	Action and use	Adverse effects
Leukotriene modifiers (leukotriene receptor antagonists, LTRA)		
<i>Examples:</i> Montelukast Pranlukast Zafirlukast Zileuton	Target one part of the inflammatory pathway in asthma. Sometimes used as an option for maintenance therapy, mainly only in children. When used alone: less effective than low-dose ICS	Few in placebo-controlled studies except elevated liver function tests with zileuton and zafirlukast. FDA boxed warning for montelukast about risk of serious behavior and mood changes including in children; should be discussed with patients/parents.
<i>Tablets</i>	When added to ICS: less effective than ICS-LABA	

Add-on maintenance treatment

Long-acting muscarinic antagonists (LAMA) – check local eligibility criteria

<i>Examples:</i> Tiotropium (ages ≥6 years) by mist inhaler, added to ICS-LABA Combination ICS-LABA-LAMA inhalers (adults ≥18 years): Beclometasone-formoterol-glycopyrronium Fluticasone furoate-vilanterol-umeclidinium Mometasone-indacaterol-glycopyrronium	An add-on option at Step 5 (or, non-preferred, at Step 4) in combination or separate inhalers for patients with uncontrolled asthma despite ICS-LABA. Modestly improves lung function but not symptoms or quality of life; small reduction in exacerbations. For patients with exacerbations, ensure that ICS is increased to at least medium dose before considering need for add-on LAMA.	Uncommon, but include dry mouth, urinary retention
<i>Inhaler devices:</i> pMDI, DPI or mist inhaler		

Anti-IgE – check local eligibility criteria

Omalizumab (ages ≥6 years) <i>Subcutaneous injection</i>	An add-on option for patients with severe allergic asthma uncontrolled on high-dose ICS-LABA. May also be indicated for nasal polyps and chronic spontaneous (idiopathic) urticaria. Self-administration may be an option.	Reactions at the site of injection are common but minor. Anaphylaxis is rare.
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Anti-IL5 and anti-IL5R – check local eligibility criteria

Anti-IL5 Mepolizumab (ages ≥6 years), <i>subcutaneous injection</i> Reslizumab (adults ≥18 years), <i>intravenous infusion</i>	Add-on options for patients with severe eosinophilic asthma uncontrolled on high-dose ICS-LABA. Maintenance OCS dose can be significantly reduced with benralizumab and mepolizumab.	Headache, and reactions at injection site are common but minor.
Anti-IL5 receptor Benralizumab (ages ≥12 years), <i>subcutaneous injection</i>	Mepolizumab may also be indicated for eosinophilic granulomatosis with polyangiitis (EGPA), hypereosinophilic syndrome or chronic rhinosinusitis with nasal polyposis. For mepolizumab and benralizumab, self-administration may be an option.	

Medications	Action and use	Adverse effects
Anti-interleukin 4 receptor alpha (anti-IL4Rα) – check local eligibility criteria		
Dupilumab (ages ≥6 years) <i>Subcutaneous injection</i>	<p>An add-on option for patients with severe eosinophilic or Type 2 asthma uncontrolled on high-dose ICS-LABA, or patients requiring maintenance OCS. Not advised for patients with current or historical blood eosinophils ≥1500/μl.</p> <p>May also be indicated for treatment of skin conditions including moderate-severe atopic dermatitis, chronic rhinosinusitis with nasal polyps, and eosinophilic esophagitis.</p> <p>Self-administration may be an option.</p>	<p>Reactions at injection site are common but minor.</p> <p>Transient blood eosinophilia occurs in 4–13% of patients.</p> <p>Rarely, cases of eosinophilic granulomatosis with polyangiitis (EGPA) may occur.</p>
Anti-TSLP – check local eligibility criteria		
Tezepelumab (ages ≥12 years) <i>Subcutaneous injection</i>	<p>An add-on option for patients with severe asthma uncontrolled on high-dose ICS-LABA.</p> <p>In patients taking maintenance OCS, no significant reduction in OCS dose compared with placebo.</p>	<p>Injection-site reactions</p> <p>Anaphylaxis is rare.</p> <p>Adverse events generally similar between active and placebo groups.</p>
Systemic corticosteroids		
<p><i>Examples:</i></p> <p>Prednisone</p> <p>Prednisolone</p> <p>Methylprednisolone</p> <p>Hydrocortisone</p> <p>Dexamethasone</p> <p><i>Tablets, oral suspension, intramuscular injection, or intravenous injection</i></p>	<p>Short-term treatment (in adults, prednisone/prednisolone: usually 5–7 days; dexamethasone: usually 1–2 days) is important in the treatment of severe acute exacerbations, with main effects seen after 4–6 hours. For acute severe exacerbations, OCS therapy is preferred to IM or IV therapy and is effective in preventing short-term relapse. Tapering is required if OCS given for more than 2 weeks. Patients should be reviewed after any exacerbation, to optimize their inhaled therapy in order to reduce the risk of future exacerbations.</p> <p>As a last resort, long-term treatment with OCS may be required for some patients with severe asthma, but side-effects are problematic. Patients for whom this is considered should be referred for specialist review if available, to have treatment optimized and phenotype assessed.</p>	<p>Short courses: adverse effects include sepsis, thromboembolism, sleep disturbance, reflux, appetite increase, hyperglycemia, mood changes. Even 4–5 lifetime courses increase cumulative risk of long-term adverse effects e.g., diabetes, osteoporosis, cataract, glaucoma, heart failure.</p> <p>Maintenance use: consider only as last resort, because of significant adverse effects e.g., cataract, glaucoma, hypertension, diabetes, adrenal suppression osteoporosis. Assess for these risks and treat appropriately.</p>

Anti-inflammatory reliever medications

Low-dose combination ICS-formoterol reliever

Beclometasone-formoterol

Budesonide-formoterol

Inhaler devices: pMDI or DPI

This is the reliever inhaler for GINA Track 1, for patients prescribed maintenance and reliever therapy (MART) with ICS-formoterol in Steps 3–5, or for patients prescribed as-needed-only ICS-formoterol in Steps 1–2.

As for ICS-formoterol (above)

In both settings, it reduces the risk of severe exacerbations compared with using SABA as reliever, with similar symptom control. In patients with mild asthma, as-needed-only ICS-formoterol reduces emergency visits/hospitalizations, compared with daily ICS plus as-needed SABA.

Low-dose ICS-formoterol can be taken before exercise to reduce exercise-induced bronchoconstriction, and it can be taken before or during allergen exposure to reduce allergic responses.

Maximum total dose recommended in a single day (maintenance plus reliever doses)

Beclometasone-formoterol: 48 mcg formoterol (delivered dose 36 mcg)

Budesonide-formoterol:

Adolescents and adults 72 mcg formoterol (delivered dose 54 mcg)

Children 6–11 years prescribed MART 48 mcg (delivered dose 36 mcg)

See GINA 2023, Box 3-15 for more details

Medications	Action and use	Adverse effects
Low-dose combination ICS-short-acting beta₂-agonist (SABA) reliever		
<p><i>Examples:</i></p> <p>Budesonide-salbutamol (also described as albuterol-budesonide)</p> <p>Beclometasone-salbutamol</p> <p><i>Inhaler device:</i> pMDI</p>	<p>Anti-inflammatory reliever therapy option for GINA Track 2. Budesonide-salbutamol 100/100 mcg (delivered dose 80/90 mcg) taken 2 inhalations as needed for symptom relief on top of maintenance ICS or ICS-LABA reduced the risk of severe exacerbations in adults compared with SABA reliever; most benefit seen in Step 3.</p> <p>Cannot be used for maintenance and reliever therapy. No evidence for as-needed-only use of budesonide-salbutamol in Steps 1–2.</p> <p>Recommended maximum doses in any day</p> <p>Budesonide-salbutamol 100/100 mcg: maximum 6 doses (2 inhalations each dose) in any day (total of 12 inhalations)</p>	<p>As for ICS (above) and short-acting beta₂ agonists (below)</p>

Short-acting bronchodilator reliever medications

Short-acting inhaled beta₂-agonist bronchodilators (SABA)

<p>Salbutamol (albuterol)</p> <p>Terbutaline</p> <p><i>Inhaler devices:</i> pMDIs, DPIs (also, rarely, as solution for nebulization)</p> <p>Also (rarely) by injection</p>	<p>Inhaled SABAs provide quick relief of asthma symptoms and bronchoconstriction, and for pre-treatment before exercise. SABAs should be used only as-needed (not regularly) and at the lowest dose and frequency required.</p> <p>SABA-only treatment is not recommended because of the risk of severe exacerbations and asthma-related death.</p> <p>Currently, inhaled SABAs are the most commonly used bronchodilator for acute exacerbations requiring urgent primary care visit or ED presentation.</p>	<p>Tremor and tachycardia are commonly reported with initial use of SABA. Tolerance develops rapidly with even 1–2 weeks of regular use, with increased airway hyperresponsiveness, reduced bronchodilator effect, and increased airway inflammation.</p> <p>Excess use, or poor response indicate poor asthma control and risk of exacerbations. Dispensing of 3 or more 200 dose canisters per year is associated with increased risk of exacerbations, and dispensing of 12 or more canisters per year is associated with markedly increased risk of death.</p>
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Short-acting anticholinergics (also called short-acting muscarinic antagonists)

<p><i>Examples:</i></p> <p>Ipratropium bromide</p> <p>Oxitropium bromide</p> <p>May be in combination with SABAs.</p> <p><i>Inhaler device:</i> pMDI or DPI</p>	<p>As-needed use: ipratropium is a less effective reliever medication than SABAs, with slower onset of action.</p> <p>Short-term use in severe acute asthma: adding ipratropium to SABA reduces the risk of hospital admission.</p>	<p>Dryness of the mouth or a bitter taste</p>
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Global Strategy for Asthma Management and Prevention (2023). This report provides an integrated approach to asthma that can be adapted for a wide range of health systems. The report has a user-friendly format with many practical summary tables and flow-charts for use in clinical practice. It is updated yearly.

Pocket Guide for asthma management and prevention for adults and children older than 5 years (2023). Summary for primary health care providers, to be used in conjunction with the main GINA report.

Reddel HK, Bateman ED, Schatz M, Krishnan JA, Cloutier MM. A Practical Guide to Implementing SMART in Asthma Management. *J Allergy Clin Immunol Pract.* 2022 Jan;10(1S):S31-S38. doi: 10.1016/j.jaip.2021.10.011. Epub 2021 Oct 16. PMID: 34666208.

Guidance about COVID-19 and asthma: This slide set provides practical advice about asthma and COVID-19. It is updated as new information is available. www.ginasthma.org/COVID-19/

Other resources for severe asthma

Severe asthma toolkit – Australian Centre of Excellence in Severe Asthma <https://toolkit.severeasthma.org.au>

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